



BERGRIVIER MUNICIPALITY

VERSLAG VAN DIE STRATEGIESE BESTUURDER

AAN: DIE RAAD

WYSIGING AAN GOEDGEKEURDE PROSESPLAN VIR DIE 4DE GENERASIE GEÏNTEGREERDE ONTWIKKELINGSPLAN

1. AGTERGROND

Die huidige Raad het 'n nuwe vyf jaar strategiese plan (Geïntegreerde Ontwikkelingsplan - GOP) vir 2017 - 2022 goedgekeur in Mei 2017 en maak dus nie voorsiening vir die GOP se prosesse in die 2021 – 22 finansiële boekjare.

Artikel 25(3) van die Munisipale Stelselwet, 2000 (Wet 32 van 2000) laat toe dat 'n nuut verkose Raad die GOP van die voorafgaande Raad kan aanvaar.

“(3) (a) A newly elected municipal council may, within the prescribed period referred to in subsection (1), adopt the integrated development plan of its predecessor, but before taking a decision it must comply with section 29(1)(b)(i), (c) and (d).

(b) A newly elected municipal council that adopts the integrated development plan of its predecessor with amendments, must effect the amendments in accordance with the process referred to in section 34(b).

(4) A municipality must, within 14 days of the adoption of its integrated development plan in terms of subsection (1) or (3)— (a) (b) give notice to the public— (i) of the adoption of the plan; and (ii) that copies of or extracts from the plan are available for public inspection at specified places; and publicise a summary of the plan.”

Nasionale Tesourie het ook in Omsendskrywe 107 en 108 die implikasies van die datum van die verkiesing vir die 2021/22 MTREF aangespreek en bepaal dat: *“...that when a new council takes office after a local government election, the norm has been that the new council implements the last adopted IDP during the first year of office. It is normally only in the second year of office that the newly elected council adopts a new IDP after thorough interrogation, extensive consultation and finalisation of a new five-year strategy.”*

Aangesien die verkiesingsdatum vir die nuwe Raad nog in proses van finalisering is, is dit nodig om die huidige prosesplan aan te pas om voorsiening te maak vir die moontlike addisionele jaar vir die hersiening van die huidige goedgekeurde 4de Generasie GOP.

Die Konsep GOP Prosesplan en Tydskedule van Sleutel-sperdatum is aangeheg. 'n Advertensie is geplaas om die Raad se voorneme vir die wysiginge aan die Prosesplan en Tydskedule van Sleutel-sperdatum aan die publiek bekend te maak.

2. WETGEWING

In terme van Artikel 28 van die Munisipale Stelselwet, 2000 (Wet 32 van 2000) -

- (1) moet elke munisipale raad, binne 'n voorgeskrewe tydperk na die aanvang van sy verkose termyn, 'n proses aanneem wat skriftelik uiteensit die beplanning, opstel, aanneem en hersiening van sy geïntegreerde ontwikkelingsplan;
- (2) moet die munisipaliteit deur middel van toepaslike meganismes, prosesse en prosedures wat ingevolge Hoofstuk 4 ingestel is, die plaaslike gemeenskap raadpleeg voordat die proses aangeneem word; en
- (3) moet 'n munisipaliteit aan die plaaslike gemeenskap kennis gee van die besonderhede van die proses wat hy van plan is om te volg.

In terme van Artikel 29 van die Munisipale Stelselwet moet die proses wat 'n munisipaliteit volg om sy geïntegreerde ontwikkelingsplan op te stel, insluitende sy oorweging en aanneming van die konsepplan,

- (a) in ooreenstemming wees met 'n voorafbepaalde program wat die tydskaal uiteensit vir die verskillende stappe;
- (b) deur middel van toepaslike meganismes, prosesse en prosedures wat ingevolge Hoofstuk 4 ingestel is, voorsiening maak vir -
 - (i) oorlegpleging met die plaaslike gemeenskap oor hulle ontwikkelingsbehoefte en prioriteite;
 - (ii) deelname deur die plaaslike gemeenskap in die opstel van die geïntegreerde ontwikkelingsplan; en
 - (iii) identifisering van staatsorgane, insluitende tradisionele owerhede, en ander rolspelers wat by die opstel van die geïntegreerde ontwikkelingsplan geraadpleeg moet word;
- (c) voorsiening maak vir die identifisering van alle planne en beplanningsvereistes wat ingevolge nasionale en provinsiale wetgewing op die munisipaliteit bindend is; en
- (d) verenigbaar wees met enige ander aangeleentheid wat by regulasie voorgeskryf mag word.

5. **FINANSIËLE IMPLIKASIE**

Geen.

6. **AANBEVELING**

Hiermee word aanbeveel dat:

- i. die wysiging aan die Prosesplan van die 4de Generasie Geïntegreerde Ontwikkelingsplan (GOP) vir Bergrivier goedgekeur word (Aanhangsel A); en
- ii. die Tydskedule van Sleutel-sperdatums gewysig word vir die 2021/22 finansiële jaar soos aangeheg in Aanhangsel B.

AANHANGSEL A
BERGRIVIER MUNICIPALITY

**AMENDMENT TO THE PROCESS PLAN FOR THE
FOURTH GENERATION**

INTEGRATED DEVELOPMENT PLAN

~~1 July 2017 – 30 June 2022~~



August 2021

Contents

1 INTRODUCTION	11
1.1 Points of Departure	11
1.2 Geographic Area of the IDP	11
1.3 Five Year Cycle of the 4 th Generation IDP	11
1.4 Phases of the Annual Process	12
2 LEGAL REQUIREMENTS	13
3 PREPARATION FOR THE PROCESS	14
4 INVOLVEMENT OF THE COMMUNITY AND STAKEHOLDERS	14
4.1 Activities and Outputs	14
4.2 Structured Participation	15
4.3 Ward Committees	15
4.4 Bergrivier IDP Forum	15
5 ROLES AND RESPONSIBILITIES	15
5.1 Activities and Outputs	16
5.2 Proposed distribution of roles and responsibilities	17
5.3 Proposed Distribution of roles and responsibilities within the Municipality	18
6 INTERGOVERNMENTAL ALIGNMENT	19
7 IDP CONTENT	20
7.1 Legally required content of the Five-Year IDP	20
7.2 Suggested Table of Contents	22
8 ANNUAL REVISION OF THE IDP	23
8.1 Legal Requirements	23
8.2 Purpose of a Review (ANNUAL PLAN)	23
9 IDP SOURCE DOCUMENTS	24
IDP AND BUDGET TIME SCHEDULE IN TERMS OF SECTION 21(1)(B) OF THE MFMA	26

1.1 Points of Departure

The Integrated Development Plan is a municipality's principle strategic plan that addresses the most critical development needs of the municipal area (external focus) as well as the most critical governance needs of the organisation (internal focus). The Municipal Systems Act, 2000 (Act 32 of 2000) states clearly that the Integrated Development Plan –

- *“is adopted by council within one year after a municipal election and remains in force for the council's elected term ~~(a period of five years);~~*
- *is drafted and reviewed annually in consultation with the local community as well as interested organs of state and other role players;*
- *guides and informs all planning and development, and all decisions with regard to planning, management and development;*
- *forms the framework and basis for the municipality's medium term expenditure framework, annual budgets and performance management system; and*
- *seeks to promote integration by balancing the economic, ecological and social pillars of sustainability without compromising the institutional capacity required in the implementation, and by coordinating actions across sectors and spheres of government.”*

The **integrated development planning process** has to provide a platform for identifying, discussing and resolving the **real issues and needs** in a municipality to a level of detail which is required for realistic costing and which helps manage the implementation process without much delay.

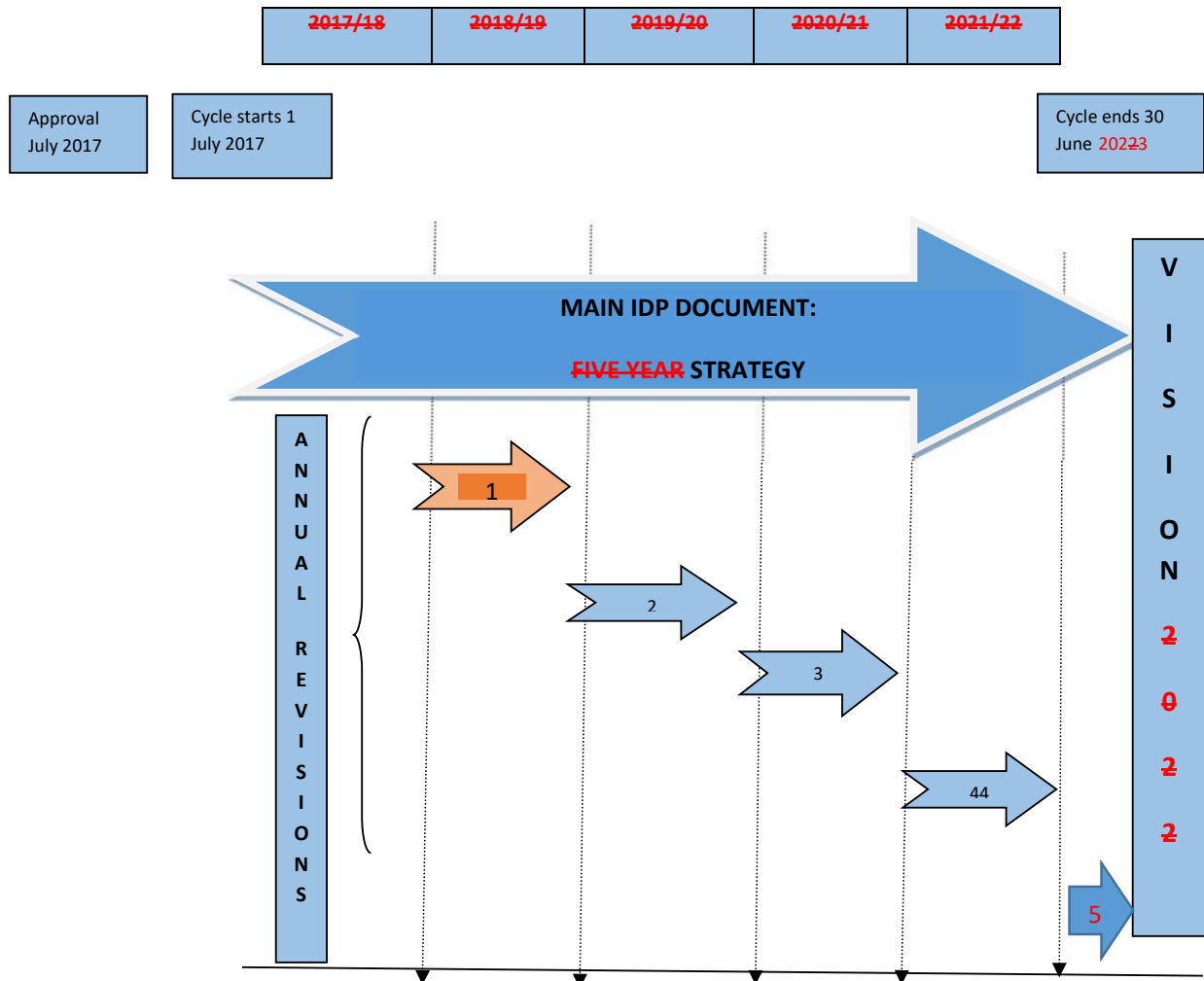
The **Process Plan** fulfils the function of a business plan or an operational framework for the IDP process. It states in a transparent manner what has to happen when, by whom, with whom, and where.

1.2 Geographic Area of the IDP

The 4th Generation IDP for Bergrivier Municipal Area includes the following towns and settlements: Porterville, Piketberg, Eendekuil, Redelinghuys, Aurora, Velddrif, Dwarskersbos and the private Moravian towns of Goedverwacht and Wittewater.

The geographic size of the municipal area is approximately 4 407.04 square kilometres.

1.3 Five Year Cycle of the 4th Generation IDP



1.4 Phases of the Annual Process

The IDP process contains broadly the following phases during the course of a financial year:

Phase	Tasks	Mechanisms
Analysis	External analysis:	
	<ul style="list-style-type: none"> Spatial Social Economic Environmental 	<ul style="list-style-type: none"> Scan sector plans Surveys if necessary Spatial Development Framework
	<ul style="list-style-type: none"> Sector needs and issues 	<ul style="list-style-type: none"> Discussions with major sector role-players
	Compilation / revision of ward plans:	
	<ul style="list-style-type: none"> Ward profiles Services backlogs Priority issues 	Ward committee meetings and workshops with inputs by directors and line managers
	Internal analysis:	
<ul style="list-style-type: none"> Critical issues / challenges Minimum service levels 	<ul style="list-style-type: none"> In-house exercise by all directors and line managers 	
<ul style="list-style-type: none"> Institutional 	<ul style="list-style-type: none"> In-house exercise by Director Corporate Services 	
<ul style="list-style-type: none"> Financial 	<ul style="list-style-type: none"> In-house exercise by Director Financial Services 	
<ul style="list-style-type: none"> Performance 	<ul style="list-style-type: none"> Annual customer satisfaction survey Performance assessment 	
	Intergovernmental alignment:	

Phase	Tasks	Mechanisms
	Obtain inputs from National and Provincial Government and other major service providers	District level meeting(s) facilitated by the West Coast District Municipality
Strategy	Council and Management discuss strategic issues, including vision and mission, strategic outcomes and outputs, as well as measures and targets for each strategic output.	<ul style="list-style-type: none"> • Strategy workshops • Stakeholder workshop
Programmes, projects & preliminary capital budget	<ul style="list-style-type: none"> • Review programmes and projects and provide for priorities for next 3 years with updated cost estimates; • Finalise strategic priorities and preliminary budget allocations 	In-house exercise by Director Financial Services, Strategic Manager, all directors and line managers
Operating budget	Prepare a draft operating budget	In-house exercise by Director Financial Services, all directors and line managers
Approval of draft IDP and draft annual budget	Finalise and approve draft IDP and draft annual budget	In-house preparation of the relevant documentation and submission to Mayoral Committee and Council
Consultation and refinement	<ul style="list-style-type: none"> • Make public the draft IDP and draft annual budget for comments and submissions. • Submit the draft annual budget to National and Provincial Treasury, prescribed national or provincial organs of state and to other municipalities affected by the budget. • Consult the West Coast District Municipality on the draft IDP. • Consult the local community and other stakeholders 	<ul style="list-style-type: none"> • In-house exercise done by Director Financial Services and Strategic Manager • Public meetings & workshops
Final approval	Council approves draft IDP and draft annual budget	In-house preparation of relevant documentation and submission to Mayoral Committee and Council

2 LEGAL REQUIREMENTS

In order to ensure certain minimum quality standards of the IDP process and a proper coordination between and within the spheres of government, the IDP process is regulated by the Municipal Systems Act, 2000 (Act 32 of 2000 (MSA)). The Act requires the following regarding **the process**:

Section 28:

- “(1) Each municipal council must adopt a process set out in writing to guide the planning, drafting, adoption and review of its IDP.*
- (2) The municipality must through appropriate mechanisms, processes and procedures established in terms of Chapter 4, consult the local community before adopting the process.*
- (3) A municipality must give notice to the local community of particulars of the process it intends to follow.”*

Section 29(1):

“The process must—

- (a) be in accordance with a predetermined programme specifying timeframes for the different steps;*
- (b) through appropriate mechanisms, processes and procedures allow for—
 - (i) the local community to be consulted on its development needs and priorities;*
 - (ii) the local community to participate in the drafting of the IDP; and*
 - (iii) organs of state, including traditional authorities, and other role players to be identified and consulted on the drafting of the integrated development plan;**
- (c) provide for the identification of all plans and planning requirements binding on the municipality in terms of national and provincial legislation; and*
- (d) be consistent with any other matters that may be prescribed by regulation.”*

3 PREPARATION FOR THE PROCESS

The Process Plan should ensure that -

- Organisational arrangements are established and membership of committees and forums are confirmed;
- Roles and responsibilities are clarified and internal human resources allocated;
- The legal requirements, principles and functions of community and stakeholder participation during the IDP process are adhered to;
- Mechanisms and procedures for alignment with external stakeholders such as other municipalities, districts and other spheres of government are addressed; and
- Legislation and policy requirements in drafting and approving the Process Plan and IDP are adhered to.

4 INVOLVEMENT OF THE COMMUNITY AND STAKEHOLDERS

4.1 Activities and Outputs

The municipality needs to establish a set of organisational arrangements to -

- institutionalise the participation process;
- effectively manage outputs; and
- give affected parties access to contribute to the decision-making process.

The Municipality should consider existing arrangements and avoid duplication of mechanisms. This section deals with the organisational structure and the terms of reference for each of the arrangements /structures.

4.2 Structured Participation

The IDP process and the participation of the community in this process have to be **structured**. Bergrivier Municipality covers a geographical area of approximately 4 407,04 square kilometres and has an estimated population of 61 896. The directive from national government on how community participation should be structured is through the ward committee system. Most of the IDP liaison with communities will be done through ward committee and ward meetings.

Although ward committees provide for representation of communities on a geographical basis, there are also a number of sector interests not necessarily covered by ward committees, such as education, business and agriculture. Liaison with and involvement of such sector groups is crucial and will be done mainly through the Bergrivier IDP Forum.

4.3 Ward Committees

The role of the Ward Committees with respect to the IDP is to -

- Assist the ward councillor in identifying challenges and needs of residents;
- Provide a mechanism for discussion and negotiation between stakeholders within the ward.
- Advise and make recommendations to the ward councillor on matters affecting the ward;
- Disseminate information in the ward;
- Ensure constructive and harmonious interaction between the Municipality and community;
- Interact with other forums and organisations on matters affecting the ward;
- Draw up a ward plan on how to improve service delivery in the particular ward;
- Monitor the implementation process concerning its area.

The chairperson of the Ward Committee is the Ward Councillor of that particular ward.

4.4 Bergrivier IDP Forum

The Bergrivier IDP Forum comprises out of the leaders of the various sectors in Bergrivier. The Executive Mayor and the Municipal Manager are responsible for facilitating the IDP Forum meetings which are held on a bi-annual basis.

5.1 Activities and Outputs

It is one of the pre-requisites of a well organised IDP process that all role players are fully aware of their responsibilities. Therefore, it is one of the first preparation requirements for the IDP process to ensure that there is a clear understanding of all required roles, and of the persons or organisations that can assume those roles.

According to the Municipal Systems Act, Section 2(b), a municipality consists out of:

- (i) the political structures;
- (ii) the administration, and
- (iii) the community.

POLITICAL STRUCTURES:

According to Section 56(2) of the Structures Act, 1998 (Act 117 of 1998) the functions and powers of executive mayors are:

“The executive mayor must –

- (a) identify the needs of the municipality;*
- (b) review and evaluate those needs in order of priority;*
- (c) recommend to the municipal council strategies, programmes and services to address priority needs through the integrated development plan, and the estimates of revenue and expenditure, taking into account any applicable national and provincial development plans; and*
- (d) recommend or determine the best way, including partnership and other approaches, to deliver those strategies, programmes and services to the maximum benefit of the community.”*

According to the Municipal Systems Act (MSA), the executive mayor of a municipality must, in accordance with Section 29:

- (a) “manage the drafting of the (review) municipality’s integrated development plan;*
- (b) assign responsibilities in this regard to the municipal manager; and*
- (c) submit the draft plan to the municipal council for adoption by the council”.*

ADMINISTRATION:

Section 55(1) of the Municipal Systems Act (MSA) determines that Municipal managers:

“As head of administration the municipal manager of a municipality is, subject to the policy directions of the municipal council, responsible and accountable for-

- (a) the formation and development of an economical, effective, efficient and accountable administration-*

- (i) *equipped to carry out the task of implementing the municipality's **integrated development plan** in accordance with Chapter 5*
 - (ii) *operating in accordance with the municipality's performance management system in accordance with Chapter 6; and*
 - (iii) *responsive to the needs of the local community to participate in the affairs of the municipality;*
- (b) *the management of the municipality's administration in accordance with this Act and other legislation applicable to the municipality;*
- (c) *the implementation of the municipality's **integrated development plan**, and the monitoring of progress with implementation of the plan”.*

COMMUNITY:

Section 29(1) of the Municipal Systems Act (MSA) determines the process to be followed

“The process followed by a municipality to draft its integrated development plan, including its consideration and adoption of the draft plan, must -

(b) through appropriate mechanisms, processes and procedures established in terms of Chapter 4, allow for -

- (i) the local community to be consulted on its development needs and priorities;*
- (ii) the local community to participate in the drafting of the integrated development plan”.*

5.2 Proposed distribution of roles and responsibilities

Role Player	Roles and Responsibilities
Local Municipality	<ul style="list-style-type: none"> • Prepare and adopt the IDP Process Plan. • Undertake the overall management and co-ordination of the IDP process which includes ensuring that : <ul style="list-style-type: none"> - all relevant role-players are appropriately involved; - appropriate mechanisms and procedures for community participation are applied; - events are undertaken in accordance with the approved time schedule; - the IDP relates to the real burning issues in the municipality; and - the sector planning requirements are satisfied. • Prepare and adopt the IDP. • Adjust the IDP in accordance with the MEC’s proposal. • Ensure that the annual business plans, budget and performance management system are linked to and based on the IDP.

Role Player	Roles and Responsibilities
Local residents, communities and stakeholders	<p>Represent interests and contribute knowledge and ideas in the IDP process by participating in and through the Ward Committees or IDP Forum to -</p> <ul style="list-style-type: none"> • analyse issues, determine priorities, and provide input; • keep their constituencies informed on IDP activities and their outcomes; and • discuss and comment on the draft IDP.
District Municipality	<ul style="list-style-type: none"> • District Municipality prepare a District Framework (Sec 27 of the MSA). • Fulfil a coordination and facilitation role by - <ul style="list-style-type: none"> - ensuring alignment of the IDP's of the municipalities in the district council area; - ensuring alignment between the district and local planning; - facilitation of alignment of IDP's with other spheres of government and sector departments; and - preparation of joint strategy workshops with local municipalities, provincial and national role-players and other subject matter specialists.
Provincial Government	<ul style="list-style-type: none"> • Ensure horizontal alignment of the IDP's of the district municipalities within the province; • Ensure vertical/sector alignment between provincial sector departments/ provincial strategic plans and the IDP process at local/district level by - <ul style="list-style-type: none"> - guiding the provincial sector departments' participation in and their required contribution to the municipal IDP process; and - guiding them in assessing draft IDP's and aligning their sector programmes and budgets with the IDP's. • Efficient financial management of provincial IDP grants; • Monitor the progress of the IDP processes; • Facilitate resolution of disputes related to IDP; • Assist municipalities in the IDP drafting process where required; • Organise IDP-related training where required; • Co-ordinate and manage the MEC's assessment of IDP's.
Sector Departments and Corporate Service Providers	<ul style="list-style-type: none"> • Contribute relevant information on the provincial sector departments' plans, programmes, budgets, objectives, strategies and projects in a concise and accessible manner. • Contribute sector expertise and technical knowledge to the formulation of municipal strategies and projects. • Engage in a process of alignment with district municipalities. • Participate in the provincial management system of co-ordination.

5.3 Proposed Distribution of roles and responsibilities within the Municipality

Role Player	Roles and Responsibilities
Municipal Council	<ul style="list-style-type: none"> • Consider and approve the IDP. • Consider and approve the Budget.

Role Player	Roles and Responsibilities
Executive Mayor and Mayoral Committee	<ul style="list-style-type: none"> • Responsible for the overall management, co-ordination and monitoring of the process and drafting of the IDP. • Assign and delegate responsibilities to the municipal manager. • Approve nominated persons to be in charge of the different roles, activities and responsibilities of the process and drafting. • Submit the draft IDP to the Council for adoption.
Ward Councillors	<ul style="list-style-type: none"> • Form a link between the municipal government and the residents. • Link the IDP process to their constituencies and/or wards. • Assist in organising public consultation and participation (with particular reference to the functioning of ward committees). • Monitor the implementation of the IDP with respect to their particular wards.
Municipal Manager and /or Strategic Manager	<ul style="list-style-type: none"> • Prepare the IDP Process Plan. • Undertake the day to day management and co-ordination of the IDP process. • Ensure that all relevant role-players are appropriately involved and timeously informed. • Ensure that the IDP process is participatory, strategic and implementation orientated and is aligned with sector planning requirements. • Respond to comments on the draft IDP. • Ensure proper IDP documentation. • Adjust the IDP in accordance with the MEC's proposals.
Heads of Departments and Officials	<ul style="list-style-type: none"> • Provide relevant technical, sector and financial information for analysis for determining priority issues. • Contribute technical expertise in the consideration and finalisation of strategies and identification of projects. • Provide departmental operational and capital budgetary information. • Responsible for the preparation of project proposals, the integration of projects and sector programmes.

6 INTERGOVERNMENTAL ALIGNMENT

Alignment is the mechanism to synchronize and integrate the IDP process between different spheres of government. The alignment process must reveal how National and Provincial Government and the District Municipality could tangibly assist the Municipality in achieving its developmental objectives.

The desired outcome of inter-governmental alignment include –

- to make government as a whole work together;
- to improve the impact of its programmes; and
- to work towards achieving common objectives and outcomes.

The alignment process is co-ordinated by the West Coast District Municipality. Alignment meetings take place on district level, but with the involvement of all local municipalities.

7 IDP CONTENT

7.1 Legally required content of the ~~Five-Year~~ IDP

Section 26 of the MSA determines the core components of an integrated development plan as:

“An integrated development plan must reflect-

- (a) the municipal council’s vision for the long term development of the municipality with special emphasis on the municipality’s most critical development and internal transformation needs;*
- (b) an assessment of the existing level of development in the municipality, which must include an identification of communities which do not have access to basic municipal services;*
- (c) the council’s development priorities and objectives for its elected term, including its local economic development aims and its internal transformation needs;*
- (d) the council’s development strategies which must be aligned with any national or provincial sectoral plans and planning requirements binding on the municipality in terms of legislation;*
- (e) a spatial development framework which must include the provision of basic guidelines for a land use management system for the municipality;*
- (f) the council’s operational strategies;*
- (g) applicable disaster management plans;*
- (h) a financial plan, which must include a budget projection for at least the next three years; and*
- (i) the key performance indicators and performance targets determined in terms of section 41.”*

Regulation 2 of the 2001 Municipal Planning and Performance Management Regulations provide the following details of the integrated development plan

“(1) A municipality’s integrated development plan must at least identify-

- (a) the institutional framework, which must include an organogram, required for-*
 - (i) the implementation of the integrated development plan; and*
 - (ii) addressing the municipality’s internal transformation needs, as informed by the strategies and programmes set out in the integrated development plan;*
- (b) any investment initiatives in the municipality;*
- (c) any development initiatives in the municipality, including infrastructure, physical, social, economic and institutional development;*

- (d) set out basic guidelines for a land use management system in the municipality;
- (e) set out a capital investment framework for the municipality's development programs;
- (f) contain a strategic assessment of the environmental impact of the spatial development framework;
- (g) identify programs and projects for the development of land within the municipality;
- (h) be aligned with the spatial development frameworks reflected in the integrated development plans of neighbouring municipalities; and
- (i) provide a visual representation of the desired spatial form of the municipality, which representation -
 - (i) must indicate where public and private land development and infrastructure investment should take place;
 - (ii) must indicate desired or undesired utilisation of space in a particular area;
 - (iii) may delineate the urban edge;
 - (iv) must identify areas where strategic intervention is required; and
 - (v) must indicate areas where priority spending is required."

7.2 Suggested Table of Contents

The form and content of a ~~five-year~~ IDP are largely subject to the discretion of a Municipality. The following table of contents is based on the above-mentioned act and regulations, the IDP Guide Pack and National and Provincial directives. It serves only as a guide and the eventual table of contents will most likely be a combination and/or rearrangements of the different components.

SECTION/CHAPTER	DETAIL
Foreword	Foreword of Mayor & Municipal Manager
The purpose of the IDP	Definitions (as an Annexure)
	Purpose of IDP
	Legislative context (Brief overview)
	5-Year Cycle & Revisions per annum (Brief overview)
Future planning	Vision
	Mission
	Strategic Objectives

SECTION/CHAPTER	DETAIL
Bergrivier in Context: Situational analysis	An overview of current international, national and provincial economic, demographic, environmental, (esp. climate change), sustainable developmental debates
	A brief overview of the profile of Bergrivier – demographics, resource analysis, economic development and other tendencies
Ward plans	High level situational analysis of ward
	<i>Analysis of infrastructure spent</i>
	Analysis of needs identified, <i>but not addressed</i>
Organisational structure/arrangement	The council and council committees
	The administration
	Analysis of capacity
Intergovernmental alignment	Sector plans
Financial Plan	As outlined above
Monitoring and Evaluation	Performance management

8 ANNUAL REVISION OF THE IDP

8.1 Legal Requirements

Section 34 of the Municipal Systems Act (MSA) determines the annual review and/or amendment of integrated development plan and states:

“A municipal council-

(a) must review its integrated development plan-

(i) annually in accordance with an assessment of its performance measurements in terms of section 41; and

(ii) to the extent that changing circumstances so demand; and

(b) may amend its integrated development plan in accordance with a prescribed process.”

8.2 Purpose of a Review (ANNUAL PLAN)

The IDP has to be reviewed annually in order to:

- Ensure its relevance as the municipality’s strategic plan;

- inform other components of the municipal business process (including institutional and financial planning and budgeting); and
- inform the cyclical intergovernmental planning and budgeting cycle.

For the IDP to remain relevant, the municipality must assess implementation performance and the achievement of its targets and strategic objectives. In the light of this assessment, the IDP is reviewed to reflect the impact of successes as well as corrective measures to address problems. The IDP is also reviewed in the light of changing internal and external circumstances that impact on the priority issues, outcomes and outputs of the IDP.

The annual review must inform the municipality's financial and institutional planning and most importantly, the drafting of the annual budget. It must be completed in time to properly inform the latter.

The purpose of the annual review is therefore to -

- reflect and report on progress made with respect to the strategy in the 5 year IDP;
- make adjustments to the strategy if necessitated by changing internal and external circumstances that impact on the appropriateness of the IDP;
- determine annual targets and activities for the next financial year in line with the 5 year strategy; and
- inform the municipality's financial and institutional planning and most importantly, the drafting of the annual budget.

9 IDP SOURCE DOCUMENTS

The Integrated Development Planning process is guided by a number of legal and policy documents that impose a range of demands and requirements on the Municipality. The list below contains the some of the most important IDP source documents:

Acts

- Constitution of South Africa (1996)
- Municipal Structures Act, 1998 (Act 117 of 1998)
- Municipal Systems Act, 2000 (Act 32 of 2000)
- Disaster Management Act, 2002 (Act 57 of 2002)
- Municipal Finance Management Act, 2003 (Act 56 of 2003)

Regulations

- Municipal Planning and Performance Management Regulations (Aug 2001)

White Papers

- Batho Pele White Paper (Oct 1997)
- White Paper on Local Government (Mar 1998)

National policy

- National Spatial Development Perspective (2006)
- Medium Term Strategic Framework (2009)
- National Development Plan

Provincial policy

- Provincial Spatial Development Framework (2009)
- Provincial Strategic Plan (2011)

Annexure B

IDP AND BUDGET TIME SCHEDULE IN TERMS OF SECTION 21(1)(B) OF THE MFMA

Task	Date	Legal Reference
Jul - August 2021		
Table in Council the IDP Process Plan (Happens once every 5 years. <i>The time schedule in terms of Section 21(1)(b) of the Municipal Finance Management Act (MFMA) is replaced annually).</i>	Aug 2021	MSA Section 28: (1) Each municipal council, within a prescribed period after the start of its elected term, must adopt a process set out in writing to guide the planning, drafting, adoption and review of its integrated development plan. (2) The municipality must through appropriate mechanisms, processes and procedures established in terms of Chapter 4, consult the local community before adopting the process. (3) A municipality must give notice to the local community of particulars of the process it intends to follow.
Advertise the amended IDP Process Plan for public inputs/comments. Copies in library and on website	Aug 2021	MSA Section 28 (3) A municipality must give notice to the local community of particulars of the process it intends to follow
Table in Council a budget and IDP time schedule of key deadlines <i>(Annually - at least 10 months before the start of the budget year)</i>	31 Aug	MFMA Section 21(1)(b): The mayor of a municipality must at least 10 months before the start of the budget year, table in the municipal council a time schedule outlining key deadlines for - (i) the preparation, tabling and approval of the annual budget; (ii) the annual review of- (aa) the integrated development plan in terms of section 34 of the Municipal Systems Act; and (bb) the budget-related policies; (iii) the tabling and adoption of any amendments to the integrated development plan and the budget-related policies; and (iv) any consultative processes forming part of the processes referred to in subparagraphs (i), (ii) and (iii).
Submit annual financial statements and annual performance report to the Auditor-General for auditing <i>(within two months after the end of the financial year)</i>	Before 31 Aug	MSA Section 126(1)(a): The accounting officer of a municipality must prepare the annual financial statements of the municipality and, within two months after the end of the financial year to which those statements relate, submit the statements to the Auditor-General for auditing
September – October 2021		
Submit process plan and time schedule to the West Coast District Municipality and the Provincial Government	Sept 2021	
Internal Analysis – <ul style="list-style-type: none"> ▪ critical issues/challenges with respect to every service ▪ minimum service levels ▪ institutional ▪ financial ▪ performance 	Sep & Nov	

Task	Date	Legal Reference
Compile a Financial Plan	Nov 2021 – Jan 2022	MSA Section 26(h): An integrated development plan must reflect a financial plan, which must include a budget projection for at least the next three years.
External Analysis – • Spatial • Social • Economic • Environmental <i>This process should be combined with the compilation of ward plans and must involve the local community and other stakeholders</i>	Sep – Nov 2021	MSA Section 29(1)(b): The process followed by a municipality to draft its integrated development plan, including its consideration and adoption of the draft plan, must through appropriate mechanisms, processes and procedures established in terms of Chapter 4, allow for- (i) the local community to be consulted on its development needs and priorities; (ii) the local community to participate in the drafting of the integrated development plan; and (iii) organs of state, including traditional authorities, and other role players to be identified and consulted on the drafting of the integrated development plan.
Commence with the multi-year capital and operating budget	Sept 2021	
Ward committee meetings and public participation town based public meetings on the IDP	Sept/Oct	
IDP Forum meetings	Sept/Oct	
Obtain inputs from directors and division heads on preliminary capital and operating budget (including review of salary, fleet and equipment budget and tariffs)	Sep / Oct	
November 2021		
Finalise the compilation of new ward plans	Nov – Dec 2021	
Strategic sessions of directorate: Alignment with strategic priorities in IDP	Nov	
Strategic session with Management and Mayoral committee	Nov/Dec	
Receive audit report on annual financial statements from the Auditor-General	Nov 2021	MFMA Section 126(3): The Auditor-General must- (a) audit those financial statements; and (b) submit an audit report on those statements to the accounting officer of the municipality or entity within three months of receipt of the statements.
Ensure the Accounting Officer addresses any issues raised by the Auditor-General in the audit report - prepare action/audit plans to address and incorporate into the annual report.	Nov 2021	
December 2021		

Task	Date	Legal Reference
Finalise inputs from bulk resource providers (and NER) & agree on proposed price increase	Dec	
Review whether all bulk resource providers have lodged a request with National Treasury & SALGA seeking comments on proposed price increases of bulk resources	Dec	
Finalise first draft of departmental operational plans and SDBIP for review against strategic priorities	Dec / Jan	
Finalise first draft of Annual Report incorporating financial and non-financial information on performance, audit reports and annual financial statements	Dec	
Submit draft adjustments budget to Budget Steering Committee	Dec	
January 2022		
Adjustments Budget - <ul style="list-style-type: none"> • Submit to Mayoral Committee • Submit to Council • Submit approved adjustments budget to provincial treasury and National Treasury 	Jan 2021	
Ensure any written comments made to bulk resource providers by municipality on proposed increase of bulk resources are included in any submission made by bulk resource providers to the required organs of state	Jan 2021	
Review whether comments from National Treasury and SALGA have been received on proposed price increases of bulk resources	Jan 2021	
Finalise operating & capital budgets in prescribed formats incorporating National & provincial budget allocations, integrate & align to IDP & draft SDBIP, finalise budget policies including tariff policy	Jan / Feb	
Review the KPI's and annual performance targets	Jan/Feb	
February 2022		
Finalise the draft capital and operating budget and budget related policies	Feb/Mar	
Finalise ward plans	Feb	

Task	Date	Legal Reference
Note any provincial and national allocations to municipalities for incorporation into budget	Feb	
Finalise corrective measures from audit report	Feb	
Receive notification of any transfers that will be made to the municipality from other municipalities in each of the next three fin years (<i>by no later than 120 days before the start of its budget year</i>)	Before 28 Feb	MFMA Section 37(2): <i>In order to enable municipalities to include allocations from other municipalities in their budgets and to plan effectively for spending of such allocations, the accounting officer of a municipality responsible for transfer of any allocation to another municipality must, by no later than 120 days before the start of its budget year, notify the receiving municipality of the projected amount of any allocation proposed to be transferred to that municipality during each of the next 3 fin years.</i>
March 2022		
Commence with establishment of ward committees	Mar - May	
Receive bulk resource providers' price increases as tabled in Parliament or the provincial legislature	Mar	
Submit draft 90 days budget to Budget Steering Committee	Before 14 March	
Table draft IDP and budget in Council (<i>at least 90 days before the start of the budget year</i>) <ul style="list-style-type: none"> • Submit to Mayoral Committee • Submit to Council 	Mar 2022	MFMA Section 16: (1) <i>The council of a municipality must for each financial year approve an annual budget for the municipality before start of that financial year.</i> (2) <i>In order for a municipality to comply with subsection (1), the mayor of the municipality must table the annual budget at a council meeting at least 90 days before the start of the budget year.</i>
Approval of NERSA tariffs	Mar	
April 2017		
Submit the budget and IDP to Provincial Treasury, National Treasury and other affected organs of state	2 - 6 Apr	MFMA Section 22: <i>Immediately after an annual budget is tabled in a municipal council, the accounting officer of the municipality must</i> (a) <i>in accordance with Chapter 4 of the Municipal Systems Act -</i> (i) <i>Make public the annual budget and the documents referred to in Section 17(3); and</i> (ii) <i>invite the local community to submit representations in connection with the budget;</i> (b) <i>submit the annual budget -</i> (i) <i>in both printed and electronic formats to the National Treasury and the relevant provincial treasury; and</i> (ii) <i>in either formats to any prescribed national or provincial organs of state and to other municipalities affected by the budget.</i>

Task	Date	Legal Reference
Submit the proposed revised IDP to the District Municipality	2 - 6 Apr	<p>MSA Section 29(3)(b): A local municipality must draft its integrated development plan, taking into account the integrated development processes of, and proposals submitted to it by the district municipality.</p> <p>MPPM Regulation 3(6): A local municipality that considers an amendment to its integrated development plan must-</p> <p>(a) consult the district municipality in whose area it falls on the proposed amendment; and</p> <p>(b) take all comments submitted to it by the district municipality into account before it takes a final decision on the proposed amendment.</p>
Make public the annual budget and invite the community to submit representations	Apr	<p>MFMA Section 22: Immediately after an annual budget is tabled in a municipal council, the accounting officer of the municipality must</p> <p>(a) in accordance with Chapter 4 of the Municipal Systems Act -</p> <p>(i) Make public the annual budget and the documents referred to in Section 17(3); and</p> <p>(ii) invite the local community to submit representations in connection with the budget;</p> <p>(b) submit the annual budget -</p> <p>(i) in both printed and electronic formats to the National Treasury and the relevant provincial treasury; and</p> <p>(ii) in either formats to any prescribed national or provincial organs of state and to other municipalities affected by the budget.</p>
Publish the proposed IDP for public comment	Apr	<p>MPPM Regulation 3(4)(b): No amendment to a municipality's integrated development plan may be adopted by the municipal council unless the proposed amendment has been published for public comment for a period of at least 21 days in a manner that allows the public an opportunity to make representations with regard to the proposed amendment.</p>
Process of consultation and meetings with local community and stakeholders, Provincial and National Treasury and other organs of state	Apr	<p>MFMA Section 23(1): When the annual budget has been tabled, the municipal council must consider any views of –</p> <p>(a) the local community; and</p> <p>(b) the National Treasury, the relevant provincial treasury and any provincial or national organs of state or municipalities which made submissions on the budget.</p>
May 2022		
Confirm National budget for provincial and National allocations to municipalities for incorporation into budget	2 - 11 May	
Review provincial & national legislation incl DoRA to establish potentially new reporting requirements incl annual, monthly & quarterly grant & performance reports for specific new allocations & programmes (10 working days after end of month deadlines)	2 - 11 May	
Council must give the mayor an opportunity to respond to the submissions and, if necessary, to revise the budget and table amendments for consideration by the council	2 - 11 May	<p>MFMA Section 23(2): After considering all budget submissions, the council must give the mayor an opportunity-</p> <p>(a) to respond to the submissions; and</p> <p>(b) if necessary, to revise the budget and table amendments for consideration by the council.</p>

Task	Date	Legal Reference
Completion of Annual Budget amendments / refinements	Before 11 May	
Submit draft 30 days budget to Budget Steering Committee	Before 16 May	
<p>Table final IDP and budget in Council (<i>at least 30 days before the start of the budget year</i>)</p> <ul style="list-style-type: none"> • Submit to Mayoral Committee • Submit to Council 	May	<p>MFMA Section 24(1): The Council must at least 30 days before the start of the budget year consider the approval of the annual budget.</p> <p>MPPM Regulation 3(3): An amendment to a municipality's integrated development plan is adopted by a decision taken by a municipal council in accordance with rules and orders of the council.</p>
June 2017		
Place IDP, annual budget, all budget-related documents and all budget-related policies on the website (<i>within 5 days of the adoption of the plan</i>)	Before 5 Jun	<p>MFMA Section 75(1): The accounting officer of a municipality must place on the website the following documents of the municipality:</p> <p>(a) the annual and adjustments budgets and all budget-related documents; and</p> <p>(b) all budget-related policies</p> <p>MSA Section 21A(1)(b): All documents that must be made public by a municipality in terms of a requirement of this Act, the Municipal Finance Management Act or other applicable legislation, must be conveyed to the local community by displaying the documents on the municipality's official website, if the municipality has a website as envisaged by section 21B.</p> <p>MFMA Section 75(2): A document referred to above must be placed on the website not later than five days after its tabling in the council or on the date on which it must be made public, whichever occurs first.</p>
Submit a copy of the revised IDP to the MEC for local government as well as Provincial Treasury (<i>within 10 days of the adoption of the plan</i>)	Before 8 Jun	MSA Section 32(1)(a): The municipal manager of a municipality must submit a copy of the integrated development plan as adopted by the council of the municipality, and any subsequent amendment to the plan, to the MEC for local government in the province within 10 days of the adoption or amendment of the plan.
Give notice to the public of the adoption of the IDP (<i>within 14 days of the adoption of the plan</i>)	13 & 14 Jun	<p>MSA Section 25(4)(a): A municipality must, within 14 days of the adoption of its integrated development plan in terms of subsection (1) or (3) give notice to the public-</p> <p>(i) of the adoption of the plan; and</p> <p>(ii) that copies of or extracts from the plan are available for public inspection at specified places;</p> <p>MSA Section 21A(1)(a) and (c): All documents that must be made public by a municipality in terms of a requirement of this Act, the Municipal Finance Management Act or other applicable legislation, must be conveyed to the local community -</p> <p>(a) by displaying the documents at the municipality's head and satellite offices and libraries;</p> <p>(c) by notifying the local community, in accordance with section 21, of the place, including the website address, where detailed particulars concerning the documents can be obtained.</p>
Publicise a summary of the IDP (<i>within 14 days of the adoption of the plan</i>)	Jun 2022	MSA Section 25(4)(b): A municipality must, within 14 days of the adoption of its integrated development plan in terms of subsection (1) or (3) publicise a summary of the plan.

Task	Date	Legal Reference
Make public the approved annual budget and supporting documentation (including tariffs) <i>(within 10 working days after approval of the budget)</i>	Jun 2022	<p>BUDGET & REPORTING REGULATIONS 2009, Reg 18:</p> <p>(1) Within ten working days after the municipal council has approved the annual budget of a municipality, the municipal manager must in accordance with section 21A of Municipal Systems Act make public the approved annual budget and supporting documentation and resolutions referred to in section 24(2)(c) of the Act.</p> <p>(2) The municipal manager must also make public any other information that the municipal council considers appropriate to facilitate public awareness of the annual budget, including-</p> <p>(a) summaries of the annual budget and supporting documentation in alternate languages predominant in the community; and</p> <p>(b) information relevant to each ward in the municipality.</p> <p>(3) All information contemplated in subregulation (2) must cover:</p> <p>(a) the relevant financial and service delivery implications of the annual budget; and</p> <p>(b) at least the previous year's actual outcome, the current year's forecast outcome, the budget year and the following two years.</p>
Submit approved budget to the provincial treasury and National Treasury <i>(within 10 working days after approval of the budget)</i>	Before 14 Jun	<p>MFMA Section 24(3): The accounting officer of a municipality must submit the approved annual budget to the National Treasury and the relevant provincial treasury.</p> <p>BUDGET & REPORTING REGULATIONS 2009, Reg 20: The municipal manager must comply with section 24(3) of the Act within ten working days after the municipal council has approved the annual budget.</p>
Submit to the Executive Mayor the draft SDBIP and draft annual performance agreements for the next year <i>(within 14 days after approval of the budget)</i>	Jun 2022	<p>MFMA Section 69(3):</p> <p>(a) The accounting officer must no later than 14 days after approval of an annual budget submit to the mayor a draft service delivery and budget implementation plan for the budget year.</p> <p>(b) The accounting officer must no later than 14 days after the approval of an annual budget submit to the mayor drafts of the annual performance agreements as required in terms of section 57(1)(b) of the Municipal Systems Act for the municipal manager and all senior managers.</p>
Executive Mayor takes all reasonable steps to ensure that the SDBIP is approved <i>(within 28 days after approval of the budget)</i>	Jun 2022	<p>MFMA Section 53(1)(c)(ii): The mayor of a municipality must take all reasonable steps to ensure that the municipality's service delivery and budget implementation plan is approved by the mayor within 28 days after approval of the budget.</p>
Place the performance agreements and all service delivery agreements on the website	Before 18 Jun	<p>MFMA Section 75(1): The accounting officer of a municipality must place on the website the following documents of the municipality:</p> <p>(d) performance agreements required in terms of section 57(1)(b) of the Municipal Systems Act; and</p> <p>(e) all service delivery agreements</p> <p>BUDGET & REPORTING REGULATIONS 2009, Reg 19: The accounting officer must place on the website all performance agreements required in terms of section 57(1)(b) of the Municipal Systems Act.</p>

Task	Date	Legal Reference
Submit copies of the performance agreements to Council and the MEC for local government as well as the national minister responsible for local government (<i>within 14 days after concluding the employment contract and performance agreement</i>)	Before 27 Jun	<p>MFMA Section 53(3)(b): Copies of such performance agreements must be submitted to the council and the MEC for local government in the province.</p> <p>PERF REGS 2006 Reg(5): The employment contract and performance agreement must be submitted to the MEC responsible for local government in the relevant province as well as the national minister responsible for local government within fourteen (14) days after concluding the employment contract and performance agreement</p>
Submit the SDBIP to National and Provincial Treasury (<i>within 10 working days approval of the plan</i>)	Before 27 Jun	<p>BUDGET & REPORTING REGULATIONS 2009, Reg 20(2)(b): The municipal manager must submit to the National Treasury and the relevant provincial treasury, in both printed and electronic form the approved service delivery and budget implementation plan within ten working days after the mayor has approved the plan.</p>
Make public the projections, targets and indicators as set out in the SDBIP (<i>within 10 working days after the approval of the SDBIP</i>)	Before 27 Jun	<p>MFMA Section 53(3)(a): The mayor must ensure that the revenue and expenditure projections for each month and the service delivery targets and performance indicators for each quarter, as set out in the service delivery and budget implementation plan, are made public no later than 14 days after the approval of the service delivery and budget implementation plan.</p> <p>BUDGET & REPORTING REGULATIONS 2009, Reg 19: The municipal manager must in accordance with section 21A of the Municipal Systems Act make public the approved service delivery and budget implementation plan within ten working days after the mayor has approved the plan in terms of section 53(1)(c)(ii) of the Act.</p>
Make public the performance agreements of Municipal Manager and senior managers (<i>no later than 14 days after the approval of the SDBIP</i>)	Before 27 Jun	<p>MFMA Section 53(3)(b): The mayor must ensure that the performance agreements of municipal manager, senior managers and any other categories of officials as may be prescribed, are made public no later than 14 days after the approval of the municipality's service delivery and budget implementation plan.</p>